

Form 144

FORM 144

NOTICE OF PROPOSED SALE OF SECURITIES  
PURSUANT TO RULE 144 UNDER THE SECURITIES ACT OF 1933

## 144: Filer Information

Filer CIK 0001980327  
Filer CCC XXXXXXXXX  
Is this a LIVE or TEST Filing?  LIVE  TEST

### Submission Contact Information

Name  
Phone  
E-Mail Address

## 144: Issuer Information

Name of Issuer UP Fintech Holding Ltd  
SEC File Number 001-38833  
Address of Issuer 18F,NO.16 TAIYANGGONG RD,CHAOYANG DIST.  
BEIJING  
CHINA  
100020  
Phone 86-10-56216660  
Name of Person for Whose Account the Securities are To Be Sold Fang Lei  
See the definition of "person" in paragraph (a) of Rule 144. Information is to be given not only as to the person for whose account the securities are to be sold but also as to all other persons included in that definition. In addition, information shall be given as to sales by all persons whose sales are required by paragraph (e) of Rule 144 to be aggregated with sales for the account of the person filing this notice.  
Relationship to Issuer Director

## 144: Securities Information

Title of the Class of Securities To Be Sold	Name and Address of the Broker	Number of Shares or Other Units To Be Sold	Aggregate Market Value	Number of Shares or Other Units Outstanding	Approximate Date of Sale	Name the Securities Exchange
American Depositary Shares (each representing 15 Class A Ordinary Shares)	Tiger Brokers (NZ) Limited Level 27, Queen Street, Auckland Central Auckland Q2 1010	900000	4014000.00	167138816	11/28/2023	NASDAQ

Furnish the following information with respect to the acquisition of the securities to be sold and with respect to the payment of all or any part of the purchase price or other consideration therefor:

## 144: Securities To Be Sold

Title of the Class	Date you Acquired	Nature of Acquisition	Name of Person from	Is this	Date Donor	Amount of Securities	Date of Payment	Nature of Payment *
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Transaction	Whom Acquired	a Gift?	Acquired	Acquired
American Depositary Shares( each representing 15 Class A Ordinary Shares) 06/10/2019 ESOP option exercise	UP Fintech Holding Limited	<input type="checkbox"/>	1143333	01/02/2019 Cashless exercise

\* If the securities were purchased and full payment therefor was not made in cash at the time of purchase, explain in the table or in a note thereto the nature of the consideration given. If the consideration consisted of any note or other obligation, or if payment was made in installments describe the arrangement and state when the note or other obligation was discharged in full or the last installment paid.

Furnish the following information as to all securities of the issuer sold during the past 3 months by the person for whose account the securities are to be sold.

## 144: Securities Sold During The Past 3 Months

Name and Address of Seller	Title of Securities Sold	Date of Sale	Amount of Securities Sold	Gross Proceeds
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	American Depositary Shares (each representing 15 Class A Ordinary Shares)	08/30/2023	10000	48001.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	Call options	08/30/2023	1505	14856.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	Call options	08/31/2023	748	10948.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	American Depositary Shares (each representing 15 Class A Ordinary Shares)	09/01/2023	10000	50100.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	Call options	09/01/2023	2247	33959.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	Call options	09/05/2023	700	6700.00
Fang Lei Xingang Park North Village Yushui District Xinyu City, Jiangxi Province F4 338011	Call options	09/06/2023	1010	12310.00
Fang Lei Xingang Park North Village Yushui District	Call options	09/12/2023	142	1810.00

Xinyu City, Jiangxi Province F4 338011 Fang Lei Xingang Park North Village Yushui District	Call options	09/14/2023 102	1732.00
Xinyu City, Jiangxi Province F4 338011 Fang Lei Xingang Park North Village Yushui District	Call options	09/15/2023 346	4208.00
Xinyu City, Jiangxi Province F4 338011 Fang Lei Xingang Park North Village Yushui District	Call options	09/22/2023 100	400.00
Xinyu City, Jiangxi Province F4 338011 Fang Lei Xingang Park North Village Yushui District	Call options	09/29/2023 200	1000.00

## 144: Remarks and Signature

Remarks 1. This Form 144 reflects the intention to sell no more than 900,000 American Depositary Shares of UP Fintech Holding Limited or write no more than 9,000 call options.

Date of Notice 11/28/2023

**ATTENTION:**

The person for whose account the securities to which this notice relates are to be sold hereby represents by signing this notice that he does not know any material adverse information in regard to the current and prospective operations of the Issuer of the securities to be sold which has not been publicly disclosed. If such person has adopted a written trading plan or given trading instructions to satisfy Rule 10b5-1 under the Exchange Act, by signing the form and indicating the date that the plan was adopted or the instruction given, that person makes such representation as of the plan adoption or instruction date.

Signature Shi Zhangwenqing, as a duly authorized representative of Tiger Brokers (NZ) Limited as attorney-in-fact for client Fang Lei.

**ATTENTION: Intentional misstatements or omission of facts constitute Federal Criminal Violations (See 18 U.S.C. 1001)**